

CMF sanctions stockbroker for offering instruments for qualified investors to clients lacking that status

August 12, 2026 — The Board of the Financial Market Commission (CMF), through [Resolution No. 8,062](#), sanctioned MBI Corredores de Bolsa S.A. with a fine of UF 250 for breaching Section II, Number 3, Paragraph 1 of General Rule No. 380 and General Rule No. 216.

As the Resolution states, the stockbroker offered between June and August 2024 instruments for qualified investors to 121 clients who had not accredited said status as of those operations' dates.

These regulations aim to ensure that intermediaries offer their clients products adequate for their risk profile.

Communications Area — Financial Market Commission (CMF)

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