



## PRESS RELEASE

### **CMF issues regulation on disclosure requirements for securities intermediaries' own portfolios**

- *The new regulation aims to modernize current information requirements used for statistical and prudential supervisory purposes.*

**December 6, 2023** — The Financial Market Commission (CMF) issued today [General Rule No. 499](#), which establishes instructions regarding the submission of information about securities intermediaries' own portfolio instruments and repeals Circular Letter No. 1,720 of 2004.

The new regulation applies to stockbrokers and securities agents. It aims to modernize current information requirements used for statistical and prudential supervisory purposes, adapting them to new processes and optimizing the regulatory burden for supervised entities.

General Rule No. 499 will become effective as of the information submission corresponding to March 2024, per what is stated on Section IV (Validity).

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