

## CMF issues regulatory amendments to enrollment of securities intermediaries, commodity exchange brokers

- The regulations amend General Rule No. 16 and updates procedures and requirements to enroll in the Registry of Stockbrokers and Securities Agents. It also establishes procedures and requirements to authorize securities intermediation services.
- General Rule No. 182 is also amended to update procedures and requirements to enroll in the Registry of Commodity Exchange Brokers.

October 27, 2025 – After a public consultation process, the Financial Market Commission (CMF) issued today a regulation amending General Rules No. 16 and No. 182 pursuant to amendments to enrollment and authorization requirements for Securities Intermediaries and Commodity Exchange Brokers made by the Fintech Act (Law No. 21,521).

Amendments to General Rule No. 16 update procedures and requirements to enroll in the Registry of Stockbrokers and Securities Agents. They also establish procedures and requirements to authorize securities intermediation services. Likewise, amendments to General Rule No. 182 update the documentation to accredit enrollment requirements for the Registry of Commodity Exchange Brokers.

Interested parties can access the <u>Regulations section</u> of the CMF website to review these amendments in detail. The Commission also makes available the corresponding <u>Regulatory Report</u>.

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Communication & Image Area — Financial Market Commission (CMF)

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